

COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450



In re application of: **Klaus OBENDIEK et al.**
Serial No.: 10/663,333
Filed: September 16, 2003
For: **TOP FOR A CONVERTIBLE VEHICLE**

Sir:

Transmitted herewith is an **Information Disclosure Statement** (2 pages) with **PTO-1449** (2 pages) and cited **references** in the above-identified application.

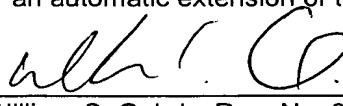
- Small entity status under 37 C.F.R. 1.9 and 1.27 has been previously established.
- Applicants assert small entity status under 37 C.F.R. 1.9 and 1.27.
- No fee for additional claims is required.
- A filing fee for additional claims calculated as shown below, is required:

(Col. 1)	(Col. 2)	SMALL ENTITY		LARGE ENTITY	
		RATE	FEES	OR	RATE
FOR:	REMAINING	HIGHEST			
	AFTER	PREVIOUSLY	PRESENT		
	AMENDMENT	PAID FOR	EXTRA		
TOTAL CLAIMS	* Minus**	=	0	x \$ 9 \$	x \$ 18 \$
INDEP. CLAIMS	* Minus***	=	0	x \$ 40 \$	x \$ 80 \$
[] FIRST PRESENTATION OF MULTIPLE DEP. CLAIM		+ \$135 \$		+ \$270 \$	
		TOTAL: \$		OR	TOTAL: \$

- * If the entry in Co. 1 is less than the entry in Col. 2, write "0" in Col. 3.
- ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
- *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

- [] Also transmitted herewith are:
 - [] Petition for extension under 37 C.F.R. 1.136
 - [] Other:
- [] Check(s) in the amount of **\$0.00** is/are attached to cover:
 - [] Filing fee for additional claims under 37 C.F.R. 1.16
 - [] Petition fee for extension under 37 C.F.R. 1.136
 - [] Other:
- The Assistant Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0552.
 - Any filing fee under 37 C.F.R. 1.16 for the presentation of additional claims which are not paid by check submitted herewith.
 - Any patent application processing fees under 37 C.F.R. 1.17.
 - Any petition fees for extension under 37 C.F.R. 1.136 which are not paid by check submitted herewith, and it is hereby requested that this be a petition for an automatic extension of time under 37 CFR 1.136.

I hereby certify that the documents referred to as attached therein and/or fee are being deposited with the United States Postal Service as "first class mail" with sufficient postage in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on March 26, 2004.
DAVIDSON, DAVIDSON & KAPPEL, LLC


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BY: 
Oliver Platz



487.1084

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: To Be Assigned Art Unit: 3612
Re: Application of: **OBENDIEK et al.**
Serial No.: 10/663,333
Filed: 09/16/2003
For: **TOP FOR A CONVERTIBLE VEHICLE**

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

March 26, 2004

Sir:

In accordance with the provisions of 37 C.F.R. § 1.97, Applicant hereby makes of record the documents listed on the accompanying PTO-1449 Form (1 page) for consideration by the Examiner in connection with the examination of the above-identified patent application. While the references are being submitted herewith, some or all of the references may not constitute prior art under the U.S. patent laws.

This Information Disclosure Statement is filed under 37 C.F.R. §1.97 (b), before the mailing date of a First Office Action, therefore no fee is believed due.

In the event any additional fee is due in connection with this response or if any fee has been overpaid, the deficiency or overpayment should be charged to our Deposit Account No. 50-0552.

It is respectfully requested that the references cited in the accompanying PTO-1449 form be considered and made of record. It is respectfully submitted that the pending claims are patentable over all of the references made of record at this time.

Respectfully submitted,
DAVIDSON, DAVIDSON & KAPPEL, LLC

By: WILLIAM C. GEHRIS
William C. Gehris
Reg. No. 38,156

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LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)		ATTY DOCKET NO.	APPLICATION NO
		487.1084	10/663,333
APPLICANT OBENDIEK et al.			
		FILING DATE 09/16/2003	GROUP 3612

*O I P E JCAZ
MAR 29 2004
PATENT & TRADEMARK OFFICE*

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A01	US 6,336,673	Jan. 8, 02	Rothe et al.	296	107.17	
	A02	US 2001/019213	Sep. 6, 01	Eberle	296	108	
	A03	US 5,769 483	Jun. 23, 98	Danzl et al.	296	107	
	A04	US 2001/040385	Nov. 15, 01	Obendiek	296	108	
	A05	US 6,419,296	Jul. 16, 02	Dintner et al.	296	107.18	
	A06	US 6,502,892	Jan. 7, 03	Eberle	296	108	
	A07	US 6,511,118	Jan. 28, 03	Liedmeyer et al.	296	107.17	
	A08						
	A09						
	A10						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION	YES	NO
	A11	196 42 153	Apr. 16, 98	DE - Germany			English abstract	<input type="checkbox"/>	
	A12	100 39 680	Mar. 7, 2002	DE - Germany			English abstract	<input type="checkbox"/>	
	A13	198 46 006	Apr. 13, 00	DE - Germany			English abstract	<input type="checkbox"/>	
	A14	196 42 152	Apr. 16, 98	DE - Germany			English abstract	<input type="checkbox"/>	
	A15	43 16 485	Nov. 24, 94	DE - Germany			English abstract	<input type="checkbox"/>	
	A16							<input type="checkbox"/>	

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	A17	
	A18	
	A19	
	A20	
	A21	

EXAMINER	DATE CONSIDERED
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)		ATTY DOCKET NO.	APPLICATION NO
		487.1094	10/663,333
		APPLICANT	
		OBENDIEK et al.	
FILING DATE	GROUP		
09/16/2003	3612		

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	B01						
	B02						
	B03						
	B04						
	B05						
	B06						
	B07						
	B08						
	B09						
	B10						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION	YES	NO
	B11	198 05 477	Aug. 5, 99	DE - Germany			English abstract	<input type="checkbox"/>	
	B12	199 57 427	Mar. 22, 01	DE - Germany			see US 6,419,296	<input type="checkbox"/>	
	B13	199 34 673	Jan. 25, 01	DE - Germany			English abstract	<input type="checkbox"/>	
	B14	44 35 222	Nov. 2, 95	DE - Germany			see US 5,769,483	<input type="checkbox"/>	
	B15	100 06 290	May 31, 01	DE - Germany			see US 6,502,892	<input type="checkbox"/>	
	B16	101 08 493	Sep. 13, 01	DE - Germany			English abstract	<input type="checkbox"/>	
	B17	100 39 683	Mar. 7, 02	DE - Germany			see US 6,511,118	<input type="checkbox"/>	

OTHER REFERENCES (*Including Author, Title, Date, Pertinent Pages, Etc.*)

B18	
B19	
B20	
B21	
B22	

EXAMINER	DATE CONSIDERED
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